

Identifying Practical DFT Functionals for Predicting 0D and 1D Organic Metal-Halide Hybrids

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Cite This: *J. Phys. Chem. C* 2024, 128, [17850−17858](https://pubs.acs.org/action/showCitFormats?doi=10.1021/acs.jpcc.4c05263&ref=pdf) **Read [Online](https://pubs.acs.org/doi/10.1021/acs.jpcc.4c05263?ref=pdf)**

ABSTRACT: Low-dimensional organic metal-halide hybrids (LD-OMHHs) are a promising class of materials for applications in optical, magnetic, and quantum information technologies. Computational understanding of these materials necessitates reliable and efficient methods for property prediction, such as predicting the electronic band gap. This work systematically benchmarks three density functional theory (DFT) functionals as well as the impact of spin−orbital coupling on the band gap predictions for 110 experimentally reported LD-OMHHs. Surprisingly, the band gap predicted by the generalized gradient approximation (GGA) aligns similarly or better with experimentally measured values compared to two meta-GGA methods. Furthermore, spin−orbital coupling shows a limited impact on

these predictions. The potential existence of significant excitonic effects might explain the discrepancies between meta-GGA and experimental band gaps. Our research also reveals that the direct use of the GGA functional can already be practical and efficient for high-throughput screening of LD-OMHHs with reasonable band gaps.

1. INTRODUCTION

Low-dimensional organic metal-halide hybrids (LD- $OMHHs)$ ^{[1](#page-6-0)−[4](#page-6-0)} have recently been developed as versatile material platforms with applications in various types of optoelectronic devices, such as photovoltaic cells (PVs), light-emitting diodes (LEDs), and photodetectors for their unique and remarkable optical and electronic properties.^{5−[8](#page-6-0)} Unlike conventional perovskite structures with metal-halide polyhedra connecting to form 3D frameworks, LD-OMHHs contain anionic metal-halide species isolated and surrounded by organic cations, forming 2D, 1D, and 0D structures at the molecular level. $9,10$ Due to the site isolation and quantum confinement effects, LD-OMHHs exhibit distinct properties as compared to their 3D perovskites. For instance, the corrugated 2D- and 1D-OMHHs with electronic band formation and structural distortions exhibit broadband emissions from a combination of direct and self-trapped excited states, producing near-white emissions. $4,11,12$ $4,11,12$ $4,11,12$ 0D-OMHHs without electronic band formation show broadband emissions from the reorganized excited states, with high photoluminescence quantum efficiencies (PLQEs) of up to 100%.^{[3,13](#page-6-0)−[16](#page-7-0)}

Accurate prediction of properties of such OMHHs urges a systematic benchmark against experimental results. Among all of these applications, one common purpose for theoretical computation is to predict the band structure and band gap. However, which DFT functional is optimal for exploring and predicting the band gap of LD-OMHH systems remains uncertain. In this work, we have conducted a systematic

evaluation of the prediction power of different DFT functionals in terms of band gap prediction for LD-OMHHs, in particular, 0D and 1D ones. The experimental band gaps of 56 0D-OMHHs and 54 1D-OMHHs are extracted from the literature to serve as the "ground truth" of our prediction. Four types of DFT setups, generalized gradient approximation (GGA), GGA with spin−orbital coupling (denoted as GGA+SOC), R2SCAN, and TASK, are benchmarked against the 110 OMHHs. Specifically, R2SCAN is shown as a low-scaling meta-GGA method 17 that is able to offer an accurate prediction of both formation enthalpy and band gaps.^{18−[21](#page-7-0)} On the other hand, TASK has emerged as a meta-GGA method that has lower computing cost than hybrid functionals but offers similar performance in terms of predicting band gaps for perovskite and perovskite-related materials.^{[22,23](#page-7-0)} Surprisingly, we have found that the GGA level of theory outperforms TASK and gets very close to R2SCAN in terms of predicting band gaps for 0D- and 1D-OMHHs. Given that it is well known in the field of DFT that semilocal methods such as GGA will always underestimate the band gap, $22,24,25$ $22,24,25$ $22,24,25$ our

Received: August 5, 2024
Revised: September 29, September 29, 2024 Accepted: October 7, 2024 Published: October 15, 2024

Figure 1. Representative atomic structures of 0D- and 1D-OMHHs: (a) $OD-(C_3H_{12}N_2)_{2}Sb_2Cl_{10}$ (b) $OD-(C_{13}H_{12}N_2)_{2}CdCl_{4}$, (c) $OD (C_{38}H_{34}P_2)MnBr_4$, (d) 1D-C₃N₂H₁₂CuI₃, (e) 1D-(C₁₁H₉N)PbBr₃, and (f) 1D-(C₂₀H₁₆N₂)Pb₃Cl₆.

Figure 2. Chemical spaces of examined 0D- and 1D-OMHHs: (a) metal species and (b) number of carbon atoms per organic cation (denoted as N_c per cation) of 0D-OMHHs; (c) metal species and (d) N_c per cation of 1D-OMHHs.

unexpected observations reveal that there could be unprecedented excitonic effects in such systems, which make the DFTpredicted band gap differ a lot from the optical band gap. Nevertheless, our analysis indicates that GGA by itself will be useful to massively screen down OMHHs with appropriate optical band gaps, while a more physically insightful investigation may be needed for a short list of materials by combining the hyper-GGA level of theory or beyond with experimental measurement of the excitonic effect.²

2. METHODOLOGY

To investigate the electronic properties of 0D- and 1D-OMHHs, density functional theory (DFT) calculations were carried out using the Vienna ab initio simulation package $(VASP)^{26}$ $(VASP)^{26}$ $(VASP)^{26}$ and the projector-augmented wave (PAW) method.^{27,[28](#page-7-0)} A reciprocal mesh discretization of 25 Å⁻¹ was used for each calculation. Both lattice parameters and atomic positions were relaxed in DFT calculations. The convergence

criteria were set to 10^{-6} eV for electronic iterations and 0.05 eV/\AA for ionic iterations. Such a convergence criterion has been tested to give neglectable energy difference compared to a much stricter accuracy setup. A Gaussian-type smearing of the Fermi level was applied. A plane-wave energy cutoff of 520 eV was used for all of the calculations. All structural optimizations were done with GGA before applying different methods for band structure calculations.

Many 0D and 1D-OMHHs have large unit cells of more than 100 atoms, and in some cases larger than 500 atoms. It is possible to calculate a few band structures with higher-level theory, such as hybrid functionals, GW, random-phase approximation, Quantum Monte Carlo,[29](#page-7-0)−[34](#page-7-0) etc. However, given the extremely high cost of doing high-throughput screening with such functionals, we have tested only four small systems of 0D- and 1D-OMHHs using HSE06 and regard the use of hyper-GGA or higher-level theory as impractical for large-scale screening of OMHHs.

3. RESULTS

3.1. Coverage of Chemical Spaces of OMHHs. The atomic structures of 56 zero-dimensional (0D) OMHHs and 54 one-dimensional (1D) OMHHs have been obtained from the experimental literature. Three representative atomic structures for both 0D- and 1D-OMHHs are displayed in [Figure](#page-1-0) 1. The organic cations in compounds $(C_3H_{12}N_2)_2Sb_2Cl_{10}$, $(C_{13}H_{12}N)_2CdCl_4$, and $(C_{38}H_{34}P_2)MnBr_4$ have been selected to exemplify the 0D-OMHHs, which vary in the amount of carbon per organic cations, as shown in [Figure](#page-1-0) [1](#page-1-0)(a)−1(c). Similarly, $C_3N_2H_{12}CuI_3$, $(C_{11}H_9N)PbBr_3$, and $(C_{20}H_{16}N_2)Pb_3Cl_6$ have been chosen to represent the 1D-OMHHs, which differ in the size of the organic cations based on the carbon content, as depicted in [Figure](#page-1-0) 1(d)−(f). This also clarifies that the terms 0D and 1D refer to the connectivity topology of the metal-halide polyhedra ([Figure](#page-1-0) 1). Specifically, 0D-OMHHs contain isolated metal-halide polyhedra periodically interspersed with organic cations, whereas 1D-OMHHs consist of a one-dimensional periodic chain of metal-halide motifs.

Additionally, the compositional space of our examined compounds is demonstrated in [Figure](#page-1-0) 2. Particularly, 56 0D-OMHHs and 54 1D-OMHHs are obtained from the existing literature. The coverage of chemical spaces can be demonstrated by the histogram shown in [Figure](#page-1-0) 2. These compounds are grouped based on the metal species as well as the number of carbon atoms of each organic cations (denoted as N_c per cations in [Figure](#page-1-0) [2](#page-1-0)(b) and 2(d)). It can be inferred from [Figure](#page-1-0) $2(a)$ and Figure $2(c)$ that the band gaps of 0D-OMHHs reported experimentally are more diverse in metals, while around 55.56% of 1D-OMHHs are Pb-containing compounds, in contrast to only 3.57% of Pb-containing compounds in the case of 0D-OMHHs. Moreover, it can also be inferred from [Figure](#page-1-0) $2(b)$, (d) that 0D-OMHHs, in general, accommodate larger cations with more carbon; this can be represented by compounds that have more than 21 C atoms per cation for 0D-OMHHs as shown in [Figure](#page-1-0) $2(b)$, which is absent for 1D cases shown by [Figure](#page-1-0) $2(d)$. Some of the representative 0D-OMHHs with large organic cations can be represented by $(C_{38}H_{34}P_2)MnBr_4$ with $(C_{38}H_{34}P_2)^+$ as the organic cation,³ $C_{24}H_{20}PCuBr_2$ with $(C_{24}H_{20}P)^+$ as the organic cation, as well as $(C_{24}H_{20}P)_{2}Cd_{2}Br_{6}$ with $(C_{24}H_{20}P)^{+}$ as the organic cation. Such a distribution of cation size can be understood as the fact that there is much less topological constraint for 0D-OMHHs as the metal-halide polyhedron does not need to connect in a way to maintain the periodicity of the inorganic motif in any dimension.

3.2. Comparison among GGA, R2SCAN, and TASK. With the establishment of all data sets, we then computed the band structure using three types of functionals: GGA, R2SCAN, and TASK. GGA is the most widely used functional and offers a reasonable estimation of material properties in many situations. 36 On the other hand, R2SCAN^{[17](#page-7-0),[37,38](#page-7-0)} emerged recently as a low-scaling meta-GGA method that offered improved accuracy for many properties, such as formation enthalpy, decomposition energy, as well as mechanical properties. Last but not least, TASK was also selected for comparison as it was recently reported to be functional and capable of predicting the band gap with similar behavior to the HSE level of hybrid functionals but with much less $cost.^{22}$ $cost.^{22}$ $cost.^{22}$ It is also reported that TASK offers a better prediction of the band gap of halide perovskites, which is a

chemical system close to that of the material systems discussed in this work.

The parity plot of the experimental band gap versus DFT computed band gap using different functionals is demonstrated in Figure 3. The band gap values of experimental, GGA,

Figure 3. Comparison of band gap estimation of (a) 0D- and (b) 1D-OMHHs from GGA, R2SCAN, and TASK with the experimental band gap reported in the literature.

R2SCAN, and TASK for 0D- and 1D-OMHHs are listed in [Tables](https://pubs.acs.org/doi/suppl/10.1021/acs.jpcc.4c05263/suppl_file/jp4c05263_si_001.pdf) S1 and S2. Here, 0D-OMHHs and 1D-OMHHs are separated to check whether the dimensionality of the metalhalide bond topology will play a role in the prediction accuracy. Several interesting observations can be made from Figure 3. Particularly, R2SCAN tends to show the best prediction accuracy among the three functionals for both 0Dand 1D-OMHHs. However, the mean absolute error (MAE) predictions from R2SCAN are quite close to those from GGA, with a slight difference of 0.16 eV for the 0D case versus 0.03 eV for the 1D case. On the other hand, TASK tends to mostly overestimate the band gap with the MAE values being 0.99 eV for 0D cases and 0.98 eV for 1D cases, which is 0.31 and 0.33 eV larger than the GGA prediction. The observation for band gap prediction from TASK is also consistent with the fact that the HSE level of theory tends to predict a larger band gap than the GGA level of theory,^{39–[42](#page-7-0)} particularly considering that TASK is claimed to be able to match HSE band structure prediction with much lower computing \cosh^{22} \cosh^{22} \cosh^{22} On the other hand, both R2SCAN and TASK tend to predict larger band gap values than GGA in general. This is also consistent with the typical understanding that GGA tends to underestimate band gaps compared to other DFT methods.^{[17](#page-7-0),[24,37](#page-7-0),[43](#page-7-0),[44](#page-7-0)}

In addition to Figure 3, we have listed all compounds with GGA band gaps closest to the experimental values in [Tables](#page-3-0) 1 and [2](#page-3-0). Overall, there are 19 0D-OMHHs and 24 1D-OMHHs whose GGA predicted band gaps closely match with experimental values, compared to the entire 56 0D-OMHHs and 54 1D-OMHHs considered in this study. Meanwhile, R2SCAN predicted the closest band gap values for 23 0D-OMHHs and 11 1D-OMHHs compared to experiments, whereas TASK provided the closest predictions for 14 0D-OMHHs and 19 1D-OMHHs. In summary, GGA-predicted band gaps not only show reasonable MAE values but also tend to offer the best predictions of band gaps for the highest number of candidates.

3.3. Impact of Spin−**Orbital Coupling.** Another important setup for band structure calculations is whether to

Table 1. 0D-OMHHs with the GGA Band Gap Being the Closest to Experimental Values

Table 2. 1D-OMHHs with the GGA Band Gap Being the Closest to Experimental Values

consider the relativistic effect through spin−orbital coupling. This can be a relevant effect, especially considering that many OMHHs involve heavy metals such as Pb, Bi, and Sn. The

comparison between band gaps predicted by GGA and GGA plus spin−orbital coupling (denoted as GGA+SOC) is presented in [Figure](#page-4-0) 4. The band gap values of GGA and

Figure 4. (a) Comparison between the GGA-predicted band gap and band gaps predicted by GGA plus spin−orbital coupling (denoted as GGA +SOC); (b) band gap difference of GGA vs experiment and of GGA+SOC vs experiment; (c) band structure of representative compounds of $(C_4H_{14}N_2)PbCl_4$ with better estimation of the band gap by GGA; (d) band structure of representative compounds of $(C_7H_{13}N_2)PbCl_3$ with better estimation of the band gap by GGA+SOC. For (c) and (d), the direct gap used to compare with the optical measurement is illustrated by black arrows.

Figure 5. Kernel density distributions of (a) 0D- and (b) 1D-OMHHs from GGA, GGA+SOC, R2SCAN, and TASK. The fraction of compounds vs MAE of (c) 0D- and (d) 1D-OMHHs from GGA, GGA+SOC, R2SCAN, and TASK.

GGA+SOC are shown in Tab. [S1&Tab.](https://pubs.acs.org/doi/suppl/10.1021/acs.jpcc.4c05263/suppl_file/jp4c05263_si_001.pdf) S2. Both 0D- and 1D-OMHH predictions are combined in this plot, given that we did not find any significantly different trends across different dimensionalities. It can be inferred from Figure $4(a)$ that the general performance between GGA and GGA+SOC is similar. There is no obvious improvement, given that MAEs of GGA and GGA+SOC are 0.66 and 0.67 eV respectively, when calculated for all 0D- and 1D-OMHHs. Moreover, another interesting observation is illustrated in Figure 4(b). It can be inferred that almost all GGA+SOC predictions yield a smaller band gap than GGA by itself. However, this systematic shift of predictions does not necessarily help or hurt the prediction error as it helps the cases when GGA overestimates the band gap, but it will make things worse when GGA underestimates the experimentally measured optical gaps. To illustrate such a "double-edged sword" effect brought by incorporating SOC, we have demonstrated one case when the underestimation brought by SOC deviates further away from the experimental gap (GGA: 3.29 eV, GGA+SOC 2.86 eV, experiment: 3.58 eV) as shown by the calculations of $(C_4H_{14}N_2)PbCl_4$ in Figure $4(c)$, as well as one case when the lowering of the band gap of $(C_7H_{13}N_2)PbCl_3$ brought by SOC helps get close to the

experimental values (GGA: 4.13 eV, GGA+SOC 3.56 eV, experiment: 3.53 eV) ([Figure](#page-4-0) 4(d)).

4. DISCUSSION

4.1. Statistical Trends of Prediction Errors. Based on the performance analysis of different DFT functionals, we end up with a very interesting observation that GGA turns out to be a suitable choice for predicting the band gap in comparison with GGA+SOC and the two meta-GGA functionals. In the following contexts, we would like to demonstrate a bit more interpretation of the behavior of different functionals and provide more insights into the usage of different functionals for high-throughput screening of electronic structures of OMHHs.

The distributions and statistical features of the prediction errors are demonstrated in [Figure](#page-4-0) 5. Particularly, the kernel density distribution of all four DFT setups for 0D- and 1D-OMHHs is demonstrated in [Figures](#page-4-0) $5(a)$, (b) respectively. Such distributions will further clarify the fact that, in general, the band gap values predicted by different values follow the order GGA+SOC \approx GGA < R2SCAN < TASK, which can be roughly estimated from the peak position. Moreover, the fact that the kernel distribution of TASK prediction error centers at ∼1.5 eV indicates that TASK in general tends to overestimate the experimental band gap by 1.5 eV. On the other hand, the distribution of the other three DFT setups will center around 0 eV, indicating much less systematic errors. Moreover, another way of systematically looking at the prediction performance is to plot the fraction of data for specific MAE values, which are demonstrated in [Figure](#page-4-0) $5(c)$ $5(c)$, $5(d)$. It can be seen from these two figures that half of the data sets for both 0D- and 1D-OMHHs can be accurately predicted with MAE values smaller than 0.7 eV using GGA, GGA+SOC, or R2SCAN, which will still be useful for estimating the optical performance as well as electronic conductivity of such materials. On the other hand, TASK tends to mispredict the band gap of almost 80% of candidates with the MAE more than 1 eV. Moreover, even though R2SCAN shows a slightly lower MAE for predicting the experimental band gaps, the difference is marginal, as shown in [Figure](#page-4-0) $5(c)$, $5(d)$, particularly in the case of predicting 0D-OMHHs.

4.2. Strategy of Choosing DFT Setups for Predicting the Band Gap. It seems that there is no perfect way to predict the band gap of OMHHs. However, it is also obvious that it is not necessary to go to the meta-GGA level of theory to improve the accuracy of band gap prediction for matching experimental measurements. Particularly, the computational cost for calculating band gaps using GGA+SOC, R2SCAN, and TASK is demonstrated in Figure 6. All three methods are shown in Figure 6 to cost in general four times more

Figure 6. Computational cost for calculating band gaps using GGA +SOC, R2SCAN, and TASK compared to GGA.

computing resources than GGA. Given the fact that they did not provide an obvious improvement of band gap prediction accuracy, it is fair to suggest that GGA should be sufficient for performing high-throughput screening of OMHHs for obtaining a reasonable estimation of band structures. After the high-throughput screening, a short list of candidates can then be collected for more accurate estimation using more advanced methods, such as hyper-GGA level of theory, random-phase approximation, GW, and Quantum Monte Carlo.

4.3. Physical Interpretation. In addition to prediction accuracy, another important aspect to be considered is why GGA does not show worse performance than other higherlevel methods, e.g., GGA+SOC, R2SCAN, and TASK. This is counterintuitive and against the common understanding that semilocal theory such as GGA is generally regarded to underestimate the fundamental band gap. 24 A reasonable hypothesis to understand such behavior is that most of our examined material systems have a strong excitonic effect, which makes the DFT-predicted fundamental band gap larger than the optical band gap measured experimentally from physics. In this case, TASK may demonstrate the correct physics by overestimating the optical band gap, as the DFT results only indicate the value of the fundamental band gap. To provide more evidence of the trend that higher-level DFT theory may still overestimate the band gap, HSE06 calculations for three 0D-OMHH and one 1D-OMHH compounds are presented in [Figures](https://pubs.acs.org/doi/suppl/10.1021/acs.jpcc.4c05263/suppl_file/jp4c05263_si_001.pdf) S1−S4. It can be seen that HSE06 band gaps are in general the largest or close to the TASK band gap, which is consistent with the argument that TASK is a meta-GGA functional that produces the closest match to hybrid functionals.^{[22](#page-7-0)} Moreover, HSE06 also shows bigger errors with experimental band gaps compared to GGA and R2SCAN, which further supports the possibility that the experimental optical band gap may involve a pronounced excitonic effect that intrinsically differs from the Kohn−Sham gap calculated through DFT. However, a comprehensive combination of experimental measurement and higher-level DFT calculations on the excitonic effect will be needed to provide the answer to this puzzle.

It is commonly believed that Kohn−Sham gaps from the GGA functional can be lower than the fundamental gaps, which is defined as the energy difference between ionization energy and electron affinity $(L-A)$.^{78,[79](#page-8-0)} Therefore, the GGA functional will also tend to underestimate the experimentally measured optical band gap. Under such situations, higher-level functionals, such as hybrid functionals, tend to offer a closer match to the experimental band gap. However, for the OMHH systems studied in this work, the GGA functional does not show a systematic underestimation of the experimental band gap. On the other hand, meta-GGA, such as TASK, as well as the HSE06 calculations presented in [Figures](https://pubs.acs.org/doi/suppl/10.1021/acs.jpcc.4c05263/suppl_file/jp4c05263_si_001.pdf) S1−S4, consistently provide a higher band gap than the experimental value. Therefore, the challenge of predicting the optical band gap of OMHH can be fundamentally different from the typical inorganic solids.^{[78,79](#page-8-0)} Regardless of the real reason for the discrepancy in band gap prediction from various functionals, our results demonstrate that the optical band gap can be efficiently captured by the GGA level of theory, even if it could be due to a wrong reason. It underscores the effectiveness of using GGA calculations for prescreening good OMHH materials for optoelectronic, electronic, or quantum informa-

5. CONCLUSIONS

throughput materials screening.

In summary, we have presented a systematic evaluation of different DFT functionals, such as GGA, R2SCAN, and TASK, as well as the inclusion of spin−orbital coupling in terms of band gap prediction for 0D- and 1D-OMHHs. The experimental band gaps of 56 0D- and 54 1D-OMHHs are extracted from the literature to serve as the "ground truth" of different DFT functional prediction. Unexpectedly, the accuracy of GGA calculation for OMHHs is comparable to that of R2SCAN or even better than that of TASK. Given that GGA offers a reasonable MAE for predicting all band gaps (e.g., ∼0.65 eV), it can be used as a more practical method for accurate screening of the electronic properties of OMHH systems.

■ **ASSOCIATED CONTENT**

\bullet Supporting Information

The Supporting Information is available free of charge at [https://pubs.acs.org/doi/10.1021/acs.jpcc.4c05263.](https://pubs.acs.org/doi/10.1021/acs.jpcc.4c05263?goto=supporting-info)

Band gap of experimental, GGA, GGA+SOC, R2SCAN, and TASK for 0D- and 1D-OMHHs and the band structure of $OD-(C_6H_{11}N_2)_4Cu_4I_8$, $OD-(CH_6N_3)Cu_2I_5$, $0D\text{-}(C_8H_{14}N_2)$ BiI, and $1D\text{-}C_4N_2H_{14}PbCl_4$ with HSE, GGA, R2SCAN, and TASK functionals ([PDF\)](https://pubs.acs.org/doi/suppl/10.1021/acs.jpcc.4c05263/suppl_file/jp4c05263_si_001.pdf)

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Notes

The authors declare no competing financial interest.

■ **ACKNOWLEDGMENTS**

Y.H., H.G., and B.O. would like to acknowledge the support from the FSU CRC Seed Grant Program and the startup funding for assistant professor. M.S.I., J.V., and B.M. would like to acknowledge the funding support from the National Science Foundation (NSF) (DMR-2204466). J. Sun acknowledges the support of the U.S. DOE, Office of Science, Basic Energy Sciences, grant No. DE-SC0014208. The computational resources were provided by the Advanced Cyberinfrastructure Coordination Ecosystem: Services & Support (ACCESS), the National Energy Research Scientific Computing Center (NERSC), a DOE Office of Science User Facility supported by the Office of Science and the U.S. Department of Energy under contract no. DE-AC0205CH11231 and the Research Computing Center (RCC) at Florida State University. The computation and data processing were also supported by the supercomputing resources from the Department of Energy's Office of Energy Efficiency and Renewable Energy at the National Renewable Energy Laboratory.

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